IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of: |) | |
|-----------------------------|---|----------------------------|
| Teruaki SOGAWA et al. |) | |
| Application No.: Unassigned |) | Group Art Unit: Unassigned |
| Filed: July 16, 2003 |) | Examiner: Unassigned |

For: OPTICAL PICKUP

Commissioner for Patents

MAIL STOP PATENT APPLICATION

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the document listed on the attached PTO-1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application and before the mailing date of a first Office Action on the merits for the above-referenced application.

A copy of each listed document is attached. Applicants respectfully request that the Examiner consider the listed document and evidence that consideration by making appropriate notations on the attached form.

The following is listed on the accompanying PTO-1449 and is in a language other than English.

a. <u>Japanese Laid-Open Application No. 2-218028</u>. The relevance of this document is discussed at page 1 of the specification of the present application.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed document is material or constitutes "prior art." If it should be determined that the listed document does not constitute "prior art" under

United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such document. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 50-0310.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

Robert J. Goodell Reg. No. 41,040

Date: July 16, 2003

MORGAN, LEWIS & BOCKIUS LLP 1111 Pennsylvania Avenue, N.W. Washington, D.C. 20004 (202) 739-3000

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

PTO Form 1449

Attorney Docket No.

040894-5942

Applicants

Teruaki SOGAWA et al.

Filing Date

July 16, 2003

Serial No.

Unassigned

Group

Unassigned

U.S. PATENT DOCUMENTS

| *Examiner Initial | Document Number | Date | Name | Class | Sub Class | Filing Date |
|----------------------|--------------------|------|------|-------|--------------|-------------|
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | <u> </u> | |

| | | FOREIGN PATE | ENT DOCUMENTS | | | | |
|---|--------------------|--|---------------|-------|--------------|--|----|
| | Document Number | Date | Country | Class | Sub Class | Trans | NO |
| | 2-218028 | Aug. 30, 1990 | Japan | | | | X |
| | | | | | | ļ | |
| ļ | | | | | _ | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

| | OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | |
|-------|--|---|
| | | |
| _ | | |
| | | |
| | | - |
| | | |

| Examiner | Date Considered |
|-----------|---|
| | |
| Examiner: | Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through |
| citat | ion if not in conformance and not considered. Include copy of this form with next communication to |

applicant.